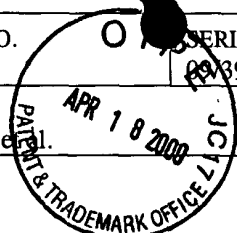


Attach #11

FORM PTO 1449 (modified)	ATTY DOCKET NO. 040902/0172	SERIAL NO. 09396,914
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	APPLICANT Jose HANQUIER et al.	
LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)	FILING DATE: September 15, 1999	GROUP 1643
Date Submitted to PTO: April 18, 2000		



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
mm	B1	5,945,328	8/31/99	Woldlike et al.	435	213	
mm	B2	4,933,434	6/12/90	Rudolph et al.	530	405	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
mm	B3	597681	11/10/93	EP	—	—	Yes

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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[Signature]

1.9.01

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Attach #10
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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet one of one

Complete if Known

Application Number	09/369,941
Filing Date	August 6, 1999
First Named Inventor	CHARLOTTE A. KENSIL
Group Art Unit	1643
Examiner Name	unassigned
Attorney Docket Number	106.941.181

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
mw	1.	KLINMAN D.M. "Therapeutic applications of CpG - containing oligodeoxynucleotides". ANTISENSE AND NUCLEIC ACID DRUG DEVELOPMENT, (1998 APR) 8(2)181-4, XP002128519, the whole document.	
uw	2.	KRIEG A.M. ET AL: "The role of CpG dinucleotides in DNA vaccines." TRENDS IN MICROBIOLOGY, (1998 Jan) 6(1) 23-7. REF: 39, XP000857633, the whole document.	
uw	3.	SO, H.S. ET AL: "Effect of a novel saponin adjuvant derived from Quillaja saponaria on the immune response to recombinant hepatitis B surface antigen." MOLECULES AND CELLS, (1997 APR 30) 7 (2) 178-86. , XP002128521, the whole document.	
mw	4.	KENSIL C.R.: "Saponins as vaccine adjuvants." CRITICAL REVIEWS IN THERAPEUTIC DRUG CARRIER SYSTEMS, (1996) 13 (1-2) 1-55. Ref: 178, XP002128521, Pages 1-2; 6-9; 14-16; 22-27; and 44	

Examiner
Signature

Date
Considered

1-9-01

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¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

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